

Patent Case No.: 58065US008

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

DODSWORTH, ROBERT S.

Application No.:

10/784860

Group Art Unit: 1775

Filed:

February 23, 2004

Examiner:

Lam, Cathy Fong Fong

Title:

ETCHED DIELECTRIC FILM IN HARD DISK DRIVES

## INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| CERTIFICATE | OF MAILING | OR TRANSMISSION | N [37 CFR § 1.8(a)] |
|-------------|------------|-----------------|---------------------|
|-------------|------------|-----------------|---------------------|

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

Signed by: Melanie G. Gover

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual

designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

May 11, 2005

Melanie G. Gover, Reg. No.: 41,793 Telephone No.: (512) 984-4308

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

|                     | \a_       |         |
|---------------------|-----------|---------|
| Substitute for form | 1449A/PTO | ifrade. |

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date
First Named Inventor
Art Unit
Examiner Name
Yang, Rui
1775
Lam, Cathy Fong Fong

10/784860

58065US008

**Application Number** 

Attorney Case Number

e as many sheets as necessary)

Page 1 of 1

| U.S. Patent Documents |                                  |                     |                                |                  |                                                            |
|-----------------------|----------------------------------|---------------------|--------------------------------|------------------|------------------------------------------------------------|
| Exam. Cite Init.* No. |                                  | Document Number     | Publication Date or Issue Date | Name of Patentee | Pages, Columns, Lines, Where Relevant Passages or Relevant |
|                       | Doc. Number-(Kind Code if Known) | MM-DD-YYYY          | or Applicant of Cited Document | Figures Appear   |                                                            |
|                       | A1                               | US- 2003/0103294 A1 | 06-05-2003                     | Maruyama et al.  |                                                            |
|                       | A2                               | US-                 |                                |                  |                                                            |
|                       | A3                               | US-                 |                                |                  |                                                            |
|                       | A4                               | US-                 |                                |                  |                                                            |
|                       | A5                               | US-                 |                                |                  |                                                            |
|                       | A6                               | US-                 |                                |                  |                                                            |
|                       | A7                               | US-                 |                                |                  |                                                            |
|                       | A8                               | US-                 |                                |                  |                                                            |
|                       | A9                               | US-                 |                                |                  |                                                            |
|                       | A10                              | US-                 |                                |                  |                                                            |
|                       | A11                              | US-                 |                                |                  |                                                            |
|                       | A12                              | US-                 |                                |                  |                                                            |
|                       | A13                              | US-                 |                                |                  |                                                            |
|                       | A14                              | US-                 |                                |                  |                                                            |

|        | Foreign Patent Documents |                         |                            |                  |                             |                                                   |                |
|--------|--------------------------|-------------------------|----------------------------|------------------|-----------------------------|---------------------------------------------------|----------------|
| Exam.  | Cite<br>No.              | Foreign Patent Document |                            | Publication Date | Name of Patentee or         | Pages, Columns, Lines,<br>Where Relevant Passages | Translation    |
| Init.* |                          | Ctry. Code              | Number-KindCode (If known) | MM-DD-YYYY       | Applicant of Cited Document | or Relevant Figures Appear                        | (Check if yes) |
|        | B1                       |                         |                            |                  |                             |                                                   |                |
|        | B2                       |                         |                            |                  |                             |                                                   |                |
|        | В3                       |                         |                            |                  |                             |                                                   |                |
|        | B4                       |                         |                            |                  |                             |                                                   |                |

| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS |             |                                                                                                                                                                                                                                                                                    |  |  |
|-------------------------------------------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| Exam.<br>Init.*                                 | Cite<br>No. | <ul> <li>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</li> </ul> |  |  |
|                                                 | C1          | Search Report for PCT/US2005/001340                                                                                                                                                                                                                                                |  |  |
|                                                 | C2          |                                                                                                                                                                                                                                                                                    |  |  |
|                                                 | СЗ          |                                                                                                                                                                                                                                                                                    |  |  |

| *= | va | m | in | er: |
|----|----|---|----|-----|
|    |    |   |    |     |

**Date Considered:** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.